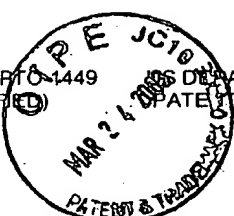


FORM PTO-449 (MODIFIED) U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE



LIST OF ART CITED BY APPLICANT
(Use sever of sheets if necessary)

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|-------------------------------|-------|
| Atty. docket No.: IN-5519 | |
| Serial No.: 09/941,295 | |
| Applicant(s): Bradford et al. | |
| Filing Date: August 28, 2001 | Group |

U.S. PATENT DOCUMENTS

| Examiner initial | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|------------------|----|-----------------|---------|---------------------|-------|----------|----------------------------|
| SMC | AA | 4,192,762 | 3/11/80 | Osborn | 252 | 182 | 4/20/78 |
| SMC | AB | 5,626,958 | 5/6/97 | D'Herbecourt et al. | 428 | 327 | 2/1/94 |
| | AC | | | | | | |
| | AD | | | | | | |
| | AE | | | | | | |
| | AF | | | | | | |
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MAR 28 2003
GROUP 1700

FOREIGN PATENT DOCUMENTS

| Examiner initial | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION YES NO | |
|------------------|----|-----------------|----------|---------|-------|----------|-----------------------|--|
| SMC | AL | GB 1 583 412 | 12.08.77 | GB | C08F | 226/02 | X | |
| SMC | AM | EP 0 298 611 | 10.06.88 | EP | C08G | 18/67 | X | |
| SMC | AN | WO 98/20047 | 14.05.98 | WO | C08F | 2/06 | X | |
| | AO | | | | | | | |
| | AP | | | | | | | |
| | AR | | | | | | | |
| | AS | | | | | | | |

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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|--|----|--|
| | AT | Copy of the International Search Report PCT/US 02/22439, filed 12/07/2002. |
| | AU | |
| | AV | |

EXAMINER

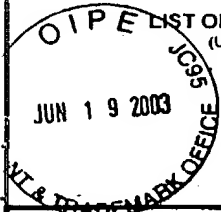
SMC

DATE CONSIDERED

2/4/04

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

*Applicant has modified this form by deleting the word "prior".

| FORM PTO-1449 (MODIFIED) | US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | Atty. Docket No. IN-5519 | | | | | |
|--|--|---|----------|-------------------------------|----------|-------------------------|---------|
| <div style="display: flex; justify-content: space-between; align-items: center;"> <div style="text-align: center;">  </div> <div> In re Application of: BALCH, et al. Serial No.: 09/941,295 Filed: August 28, 2001 </div> </div> | | <div style="transform: rotate(-15deg); font-weight: bold; font-size: 1.2em;">RECEIVED</div> <div style="transform: rotate(-15deg); font-weight: bold; font-size: 1.2em;">JUN 23 2003</div> <div style="transform: rotate(-15deg); font-weight: bold; font-size: 1.2em;">TC 1700</div> | | | | | |
| U.S. PATENT DOCUMENTS | | | | | | | |
| SRL 4 ↓ SML | AA | 4,618,632 | 10/21/86 | Su | 522 | 43 | 2/7/85 |
| | AB | 5,610,224 | 3/11/97 | DePue et al. | 524 | 538 | 7/29/96 |
| | AC | 6,265,476 | 7/24/01 | Krongauz et al. | 524 | 262 | 10/4/99 |
| | AD | 6,335,397 | 01/01/02 | Kokel et al. | 524 | 507 | 4/2/98 |
| | AE | 6,333,077 | 12/25/01 | Maag et al. | 427 | 496 | 3/6/98 |
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| Examiner initial | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION YES NO | |
| SML | AF | DE19920799 | 16.11.00 | DE | C095 | 175/00 | X |
| | AG | DE10113884 | 10.10.02 | DE | B05D | 7/00 | X |
| | AH | EP 0 401 892 | 25.05.90 | EP | C09D | 167/06 | X |
| | AI | DE19924674 | 30.11.00 | DE | C09D | 5/00 | X |
| | AJ | DE19930067 | 11.1.01 | DE | C09D | 133/04 | X |
| | AK | DE19930664 | 11.1.01 | DE | C09D | 125/02 | X |
| | AL | DE19930665 | 11.1.01 | DE | C09D | 125/02 | X |
| | AM | DE19716020 | 22.10.98 | DE | C08J | 3/03 | X |
| | AN | WO98/40170 | 17.09.98 | WO | B05D | 3/06 | X |
| OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.) | | | | | | | |
| | AO | Aaron LOCKHART, Bayer Polymers, Pittsburgh, PA, entitled "UV-Curable Waterborne Polyurethane Dispersions for Sealing Composite Automotive Body Panels", International Coatings for Plastics Symposium, Dated June 9-11, 2003 | | | | | |
| | AP | IN-5704, Translation of DE10113884.9, entitled "Coating Microporous Surfaces" March 21, 2001, pages 1-50 and the abstract. | | | | | |
| | AQ | IN-5528, USSN 10/018,106 "Coating material curable thermally and with actinic radiation, and its use" Dated 10/30/2001, pages 1-35. | | | | | |
| | AR | IN-5549, USSN 10/018,351, filed on 12/07/01, entitled "Based coat and its use for producing color, and/or effect-producing base coatings and multi-layer coatings" pages 1-88 and abstract. | | | | | |
| | AS | IN-5547, USSN 10/018,352, filed 12/07/2001, entitled Varnish and its use for producing varnish-coatings and color-and/or effect-producing multi-layer coatings" pages 1-91 | | | | | |
| | AT | IN-5545, USSN 10/018,703, filed 12/13/2001, entitled "Coating material and its use for producing filler coats and stone impact protection primers", pages 1-78 and abstract. | | | | | |
| SML | AU | IN-5538, USSN 09/926,532, filed 11/16/2001, entitled "Coating material that can be cured thermally or by actinic radiation, and its use", pages 1- | | | | | |
| EXAMINER <i>SMC</i> | | | | DATE CONSIDERED <i>2/4/04</i> | | | |
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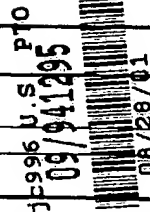
Atty. docket No.: IN-5519

Serial No.: Herewith

Applicant(s): Bradford et al.

Filing Date: August 28, 2001

Group

LIST OF ART CITED BY APPLICANT
(Use sever of sheets if necessary)

U.S. PATENT DOCUMENTS

| Examiner initial | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|------------------|----|-----------------|----------|----------------------|-------|----------|----------------------------|
| Smc | AA | 4,377,457 | 3/22/83 | Boeckeler et al. | 204 | 159.16 | 11/21/80 |
| | AB | 4,481,093 | 11/6/84 | Murphy et al. | 204 | 159.19 | 9/30/83 |
| | AC | 4,526,939 | 7/2/85 | Lewarchik et al. | 525 | 438 | 7/18/83 |
| | AD | 4,532,021 | 7/30/85 | Murphy et al. | 204 | 159.16 | 5/7/84 |
| | AE | 5,453,451 | 9/26/95 | Sokol | 522 | 42 | 3/29/93 |
| | AF | 4,415,604 | 11/15/83 | Nativi | 427 | 54.1 | 11/12/82 |
| | AG | 4,424,252 | 1/3/84 | Nativi | 428 | 209 | 11/12/82 |
| | AH | 4,607,084 | 8/19/86 | Morris, deceased | 525 | 454 | 12/16/85 |
| | AI | 4,634,602 | 1/6/87 | Sirkoch et al. | 427 | 44 | 1/2/86 |
| | AJ | 4,952,612 | 8/28/90 | Brown-Wensley et al. | 522 | 25 | 8/28/87 |
| Smc | AK | 4,985,340 | 1/15/91 | Palazzotto et al. | 430 | 270 | 6/1/88 |

FOREIGN PATENT DOCUMENTS

| Examiner initial | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION YES | TRANSLATION NO |
|------------------|----|-----------------|------|---------|-------|----------|-----------------|----------------|
| | AL | | | | | | | |
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OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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| Smc | AT | IN-5475, "DUAL CURE COATING COMPOSITION AND PROCESSES FOR USING THE SAME", August 28, 2001, pages 1-40 |
| | AU | IN-5518, "DUAL CURE COATING COMPOSITION AND PROCESSES FOR USING THE SAME", August 28, 2001, pages 1-42 |
| Smc | AV | IN-5520, "DUAL CURE COATING COMPOSITION AND PROCESSES FOR USING THE SAME", August 28, 2001, pages 1-42 |

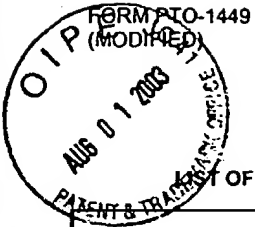
EXAMINER

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US DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

GROUP 1700

Atty. docket No.: IN-5519

Serial No.: 09/941,295

Applicant(s): Bradford et al.

Filing Date: 08/28/2001

Group 1711

ART CITED BY APPLICANT
(Use sever of sheets if necessary)

U.S. PATENT DOCUMENTS

| Examiner initial | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|---------------------|----|-----------------|---------|--------------------|-------|----------|-------------------------------|
| SMC | AA | 4,212,901 | 7/15/80 | Van Neerbos et al. | 427 | 53.1 | 7/10/78 |
| SMC | AB | 4,013,806 | 3/22/77 | Volkert et al. | 427 | 54 | 12/4/73 |
| | AC | | | | | | |
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FOREIGN PATENT DOCUMENTS

| Examiner initial | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION YES NO | |
|---------------------|----|--------------------|----------|---------|-------|----------|-------------------------|--|
| SMC | AL | WO 02/10292 | 31.07.02 | WO | C09D | 4/06 | X | |
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2/4/04

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Atty. docket No.: IN-5519

Serial No.: Herewith

Applicant(s): Bradford et al.

Filing Date: August 28, 2001

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LIST OF ART CITED BY APPLICANT
(Use sever of sheets if necessary)

U.S. PATENT DOCUMENTS

| Examiner initial | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|---------------------|----|-----------------|---------|-------------------|-------|----------|-------------------------------|
| SMC | AA | 5,013,631 | 5/7/91 | Su | 430 | 271 | 3/3/89 |
| 4 | AB | 5,153,101 | 10/6/92 | Meier et al. | 430 | 281 | 6/13/90 |
| | AC | 5,234,970 | 8/10/93 | Kyle | 522 | 96 | 12/20/91 |
| | AD | 5,326,621 | 7/5/94 | Palazzotto et al. | 428 | 195 | 8/19/92 |
| SMC | AE | 5,409,740 | 4/25/95 | Brann | 427 | 513 | 12/18/92 |
| | AF | | | | | | |
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| Examiner initial | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION YES | NO |
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| | AL | | | | | | | |
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| | AT | IN-5475 |
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U.S. PATENT DOCUMENTS

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|------------------|----|-----------------|----------|-----------------|-------|----------|----------------------------|
| SMC | AA | 4,025,407 | 5/24/77 | Chang et al. | 204 | 159.14 | 11/4/74 |
| | AB | 4,128,600 | 12/5/78 | Skinner et al. | 260 | 859R | 1/14/77 |
| | AC | 6,242,101 | 6/5/01 | Schealm et al. | 428 | 425.8 | 4/1/99 |
| | AD | 6,177,535 | 1/23/01 | Schwalm et al. | 528 | 49 | 9/21/98 |
| | AE | 6,017,640 | 1/25/00 | Muthiah et al. | 428 | 514 | 4/23/99 |
| | AF | 5,922,473 | 7/13/99 | Muthiah et al. | 428 | 481 | 12/26/96 |
| | AG | 5,462,797 | 11/31/95 | Williams et al. | 428 | 345 | 2/8/94 |
| | AH | 5,425,970 | 6/20/95 | Lahrman et al. | 427 | 493 | 5/6/93 |
| | AI | 4,342,793 | 8/3/82 | Skinner et al. | 427 | 44 | 9/29/80 |
| | AJ | 4,247,578 | 1/27/81 | Skinner et al. | 427 | 44 | 9/25/78 |
| SMC | AK | 4,287,116 | 9/1/81 | Burns | 260 | 37N | 7/14/80 |

FOREIGN PATENT DOCUMENTS

| Examiner Initial | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION YES NO | |
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| | AL | | | | | | | |
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| | AP | | | | | | | |
| | AR | | | | | | | |
| | AS | | | | | | | |

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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|-----|----|---|
| SMC | AT | BASF Coatings AG, Application No. DE10113884.9, entitled "Coating Microporous Surfaces" March 21, 2001 |
| SMC | AU | BASF Coatings AG, Application No. DE19920799, entitled Coating Material Which is Thermally Curable and Curable With "Actinic" Radiation, and Application of Said Material" April 25, 1999 |
| | AV | |

EXAMINER

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2/4/04

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OCT 02 2003

FORM PTOL 100 (MODIFIED) US DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

Atty. Docket No. IN-5519

LIST OF ART CITED BY APPLICANT
(Use sever of sheets if necessary)

In re Application of: BRADFORD et al.

Serial No.: 09/941,295

Filed: 08/28/2001

U.S. PATENT DOCUMENTS

| Examiner Initial | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|------------------|----|-----------------|----------|----------------------|-------|----------|----------------------------|
| SML | AA | 2003/0083397 | 5/1/03 | Bradford et al. | 522 | 173 | 8/28/01 |
| | AB | 2003/0078316 | 4/24/03 | Bradford et al. | 522 | 126 | 8/28/01 |
| | AC | 2003/0078315 | 4/24/03 | Bradford et al. | 522 | 126 | 8/28/01 |
| | AD | | | | | | |
| | AE | 2003/0023017 | 1/30/03 | Rink er al. | 526 | 301 | 1/24/01 |
| | AF | 4,013,806 | 3/22/77 | Volkert et al. | 427 | 54 | 12/4/73 |
| | AG | 4,025,407 | 5/24/77 | Chang et al. | 204 | 159.14 | 11/4/74 |
| | AH | 4,128,600 | 12/5/78 | Skinner et al. | 260 | 859 | 1/14/77 |
| | AI | 4,139,385 | 2/13/79 | Crivello | 96 | 35.1 | 1/14/77 |
| | AJ | 4,212,901 | 7/15/80 | van Neerbos et al. | 427 | 53.1 | 7/10/78 |
| | AK | 4,247,578 | 1/27/81 | Skinner et al. | 427 | 44 | 9/25/78 |
| | AL | 4,268,542 | 5/19/81 | Sakakibara et al. | 427 | 195 | 1/25/79 |
| | AM | 4,287,116 | 9/1/81 | Burns | 260 | 37 | 7/14/80 |
| | AN | 4,342,793 | 8/3/82 | Skinner et al. | 427 | 44 | 9/29/80 |
| | AO | 4,377,457 | 3/22/83 | Boeckeler et al. | 204 | 159.16 | 11/21/80 |
| | AP | 4,415,604 | 11/15/83 | Nativi | 427 | 54.1 | 11/12/82 |
| | AQ | 4,424,252 | 1/3/84 | Nativi | 428 | 209 | 1/3/84 |
| | AR | 4,481,093 | 11/6/84 | Murphy et al. | 204 | 159.19 | 9/30/83 |
| | AS | 4,526,939 | 7/2/85 | Lewarchik et all. | 525 | 438 | 7/18/83 |
| | AT | 4,532,021 | 7/30/85 | Murphy et al. | 204 | 159 | 5/7/84 |
| | AU | 4,607,084 | 8/19/86 | Morris, | 525 | 454 | 12/16/85 |
| | AV | 4,634,602 | 1/6/87 | Sirkoch et al. | 427 | 44 | 1/2/86 |
| | AW | 4,675,234 | 6/23/87 | Sachs et al. | 428 | 328 | 5/17/85 |
| | AX | 4,746,366 | 5/24/88 | Philipp et al. | 106 | 287.19 | 3/17/86 |
| | AY | 4,761,435 | 8/2/88 | Murphy et al. | 522 | 46 | 10/3/86 |
| | AZ | 4,786,657 | 11/22/88 | Hammer et al. | 522 | 90 | 7/2/87 |
| | A1 | 4,952,612 | 8/28/90 | Brown-Wensley et al. | 522 | 25 | 8/28/87 |
| | A2 | 4,985,340 | 1/15/91 | Palazzotto et al. | 430 | 270 | 6/1/88 |
| | A3 | 5,013,631 | 5/7/91 | Su | 430 | 271 | 3/3/89 |
| | A4 | 5,089,376 | 2/18/92 | Setthachayanon | 430 | 284 | 6/13/89 |
| | A5 | 5,153,101 | 10/6/92 | Meire et al. | 430 | 281 | 6/13/90 |
| | A6 | 5,234,970 | 8/10/93 | Kyle | 522 | 96 | 12/20/91 |
| | A7 | 5,326,621 | 7/5/94 | Palazzotto et al. | 428 | 195 | 8/19/92 |
| SML | A8 | 5,356,669 | 10/18/94 | Rehfuss et al. | 427 | 407.1 | 10/23/92 |
| | A9 | 5,409,740 | 4/25/95 | Brann | 427 | 513 | 12/18/92 |

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Atty. Docket No. IN-5519

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In re Application of: BRADFORD et al.

Serial No.: 09/941,295

Filed: 08/28/2001

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|---------------------|----|-----------------|----------|----------------------|-------|----------|-------------------------------|
| SML | AA | 5,425,970 | 6/20/95 | Lahrman et al. | 427 | 493 | 5/6/93 |
| | AB | 5,453,451 | 9/26/95 | Sokol | 522 | 42 | 3/29/93 |
| | AC | 5,462,797 | 10/31/95 | Williams et al. | 428 | 345 | 2/8/94 |
| | AD | 5,474,811 | 12/12/95 | Reh fuss et al. | 427 | 407.1 | 5/11/94 |
| | AE | 5,580,614 | 12/3/96 | Amberg-Schwab et al. | 427 | 493 | 9/7/95 |
| | AF | 5,601,878 | 2/11/97 | Kranig et al. | 427 | 386 | 6/23/93 |
| | AG | 5,605,965 | 2/25/97 | Reh fuss et al. | 525 | 100 | 11/3/94 |
| | AH | 6,332,291 | 12/25/01 | Flosbach et al. | 52 | 91 | 4/22/99 |
| | AI | 5,691,419 | 11/25/97 | Engelke et al. | 525 | 208 | 5/19/92 |
| | AJ | 5,716,678 | 2/10/98 | Röckrath et al. | 427 | 407.1 | 3/26/94 |
| | AK | 5,922,473 | 7/13/99 | Muthiah et al. | 428 | 481 | 12/26/96 |
| | AL | 5,965,213 | 10/12/99 | Sacharski et al. | 427 | 475 | 4/4/96 |
| | AM | 6,017,640 | 1/25/00 | Muthiah et al. | 428 | 514 | 4/23/99 |
| | AN | 6,159,556 | 12/12/00 | Möller et al. | 427 | 475 | 2/6/98 |
| | AO | 6,177,535 | 1/23/01 | Schwalm et al. | 528 | 49 | 9/21/98 |
| | AP | 6,242,101 | 6/5/01 | Schealm et al. | 428 | 425.8 | 4/1/99 |
| | AQ | 6,344,501 | 2/5/02 | Sierakowski et al. | 523 | 410 | 3/31/99 |
| | AR | 6,476,121 | 11/5/02 | Kadambande et al. | 524 | 560 | 10/22/99 |
| | AS | 6,482,869 | 11/19/02 | Bolte et al. | 522 | 35 | 12/1/98 |
| SML | AT | 6,534,187 | 3/18/03 | Kron et al. | 428 | 447 | 4/27/01 |
| | AU | | | | | | |
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| | AW | | | | | | |
| | AX | | | | | | |

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| SML | A1 | English Abstract for DE3828098 from EPO, 1990-03-04 |
| | A2 | English Abstract for DE4011045 from EPO, 1991-10-09 |
| | A3 | English Abstract for DE4020316 from EPO, 1992-01-09 |
| | A4 | English Abstract for DE4025215 from EPO, 1992-02-19 |
| | A5 | English Abstract for JP06-286008 from EPO, 1994-10-11 |
| | A6 | English Abstract for EP0872502 from EPO, 1998-10-21 |
| SML | A7 | Fritz BARTOL et al., USSN 09/263,426 filed March 5, 1999 |
| | A8 | English Translation for DE 198 26 715 |
| | A9 | Alland et al, USSN 10/009,394 filed 10/25/01 |

EXAMINER

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*Applicant has modified this form by deleting the word "prior".


 RM 10-1449
 OFFICE OF TRADEMARKS PATENT

Atty. Docket No. IN-5519

In re Application of: BRADFORD et al.

(Use sever of sheets if necessary)

Serial No.: 09/941.295

Filed: 08/28/2001

FOREIGN PATENT DOCUMENTS

[illegible]

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